

PRE-APPLICATION FORM

Please submit the following:

- Passports of the Shareholders/Ultimate Beneficial Owners (UBOs) and directors
- Proof of address of the Shareholders/UBOs and directors, i.e., a utility bill
- Resume(s) for the UBO(s)

<u>ABOUT THE CLIENT</u>
1. Client Name
2. Telephone contact
3. Primary email address
4. Please select your required service(s): <ul style="list-style-type: none"><input type="checkbox"/> Banking<ul style="list-style-type: none"><input type="checkbox"/> Investment a/c<input type="checkbox"/> Deposit a/c<input type="checkbox"/> Trust<input type="checkbox"/> Investment Funds Services<input type="checkbox"/> Accounting<input type="checkbox"/> Corporate/Incorporations<input type="checkbox"/> Crypto/Digital Assets<input type="checkbox"/> Business Advisory Services<input type="checkbox"/> Payment Services<input type="checkbox"/> Other (Please provide full details below)
5. Please provide a description of your required services
6. What is your country of residence (individuals)/ Where was the company incorporated (companies)?



7. Please provide a general description of current business operations

8. Please list the Directors of the company

9. Please list the Members/Shareholders of the company

EXPECTED ACCOUNT ACTIVITY QUESTIONNAIRE

1. Please provide the approximate size or value of the proposed bank account

2. What is the main currency for the account?

US Dollar Euros Pound Sterling Swiss Francs Other _____

3. Please provide the anticipated transactional volume of the following (monthly):

a) Incoming transactions

Number of transactions _____ Total Volume _____

US Dollar Euros Pound Sterling Swiss Francs Other _____

b) Outgoing transactions

Number of transactions _____ Total Volume _____

US Dollar Euros Pound Sterling Swiss Francs Other _____

4. What is your target business sectors?

5. Which are your main countries (top 5) you will process payments to?

6. Which are your main countries (top 5) you will process payments from?
7. What will be the source of funds to fund the account?
8. What is your source of wealth? (See examples attached)

Full Name _____ Signature _____

Director UBO Business Introducer

Date: ____/____/____

Email this completed form and relevant documents to businessdevelopment@equitybahamas.com.

FOR INTERNAL USE ONLY:

Entity to assign prospective relationship to:

- Equity Bahamas Bank Limited
- Liongate Bahamas Limited
- Equity Investment Fund Services Ltd
- Equity Fiduciare SA
- Equity Trust Bahamas Limited

Sr. Management Approval

Name _____ Authorized Signatory _____

Date: ____/____/____



SOURCE OF WEALTH EXAMPLES	EXAMPLES OF SUPPORTING INFORMATION/DOCUMENTS
EMPLOYMENT INCOME	<ol style="list-style-type: none">Nature of employer's businessName and address of the employerAnnual salary and bonuses for the last couple of yearsLast month/recent pay slipConfirmation from the employer of annual salaryLatest accounts or tax declaration if self employed
SAVINGS	Bank statement and enquiry of the source of wealth - reflecting that the savings are more than or equal to the amount being invested.
PROPERTY SALE	<ol style="list-style-type: none">Copy of contract of saleDetails of the property sold (i.e., address, date of sale, sale value of property sold, parties involved)Title deed from land registry
SALE OF SHARES OR OTHER INVESTMENT	<ol style="list-style-type: none">Copy of contractSale value of shares sold and how they were sold (i.e. name of stock exchange)Statement of account from agentTransaction receipt/confirmationShareholder's certificateDate of saleCopy of contract note
LOAN	<ol style="list-style-type: none">Loan agreementAmount, date, and purpose of loanName and address of LenderDetails of any security
COMPANY SALE	<ol style="list-style-type: none">Copy of the contract of saleInternet research of Company RegistryName and Address of CompanyTotal sales priceClients' share participationNature of businessDate of sale and receipt of fundsMedia coverage
COMPANY PROFITS/DIVIDENDS	<ol style="list-style-type: none">Copy of latest audited financial statementsCopy of latest management accountsBoard of Directors approvalDividend distributionTax declaration form
LOAN	<ol style="list-style-type: none">Name of Loan ProviderAddress of loan providerReason for loanTotal amount and currency providedDate of LoanA signed letter from the lender on letter-headed paper confirmation the name of borrower and amount of loan.



INHERITANCE	<ol style="list-style-type: none">a. Name of deceasedb. Date of deathc. Relationship to clientd. Date receivede. Total amountf. Solicitor's detailsg. Tax clearance documentsh. Grand of Probate (with a copy of the will)
GIFT	<ol style="list-style-type: none">a. Name and residential address of person who gave the giftb. Date receivedc. Total amountd. Relationship to cliente. Letter from donor explaining the reason for the gift and the source of donor's wealthf. Certified identification documents of donorg. Donor's source of wealth
COMPETITION WIN (INCLUDING LOTTERY)	<ol style="list-style-type: none">a. Copy of the ticket/slip/receipt showing winnings and date of winningsb. Bank statement showing the amount won if the amount was deposited into a bank account.